

# Special Cases in the Combinatorics of Rational Shuffle Conjecture

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August 22, 2017

# The Ring of Diagonal Harmonics

Let  $\mathbf{X} = x_1, x_2, \dots, x_n$  and  $\mathbf{Y} = y_1, y_2, \dots, y_n$  be two sets of  $n$  variables. The ring of **Diagonal harmonics** consists of those polynomials in  $\mathbb{Q}[\mathbf{X}, \mathbf{Y}]$  which satisfy the following system of differential equations

$$\partial_{x_1}^a \partial_{y_1}^b f(\mathbf{x}, \mathbf{y}) + \partial_{x_2}^a \partial_{y_2}^b f(\mathbf{x}, \mathbf{y}) + \dots + \partial_{x_n}^a \partial_{y_n}^b f(\mathbf{x}, \mathbf{y}) = 0,$$

for each pair of integers  $a$  and  $b$ , such that  $a + b > 0$ .

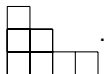
Haiman proved that the ring of diagonal harmonics has **dimension**  $(n + 1)^{n-1}$ .

## Partition and Tableau

- ▶  $\lambda = \lambda_1, \dots, \lambda_k$  is a **partition** of  $n$  if  $\lambda_1 \geq \dots \geq \lambda_k$  and  $\sum_{i=1}^k \lambda_i = n$ , written  $\lambda \vdash n$ .
- ▶ Ex.  $\lambda \vdash 3$  :  $(3), (2, 1), (1, 1, 1)$ .

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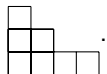
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- ▶ Each partition corresponds to a Ferrers diagram. For example,  $\lambda = (4, 2, 1) \vdash 7$  corresponds to



We can fill the cells of the Ferrers diagram with integers.

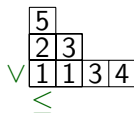
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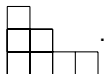
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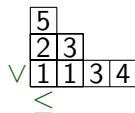
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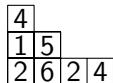


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- ▶ **Column strict tableau:**



- ▶ **Injective tableau:**  $\lambda \rightarrow \mathbb{Z}_+$ ,



# Symmetric Functions

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- ▶ Ex.  $f(x_1, x_2, x_3) = 3x_1x_2 + 3x_1x_3 + 3x_2x_3 + \cdots + 5x_1^2x_2 + 5x_1x_2^2 + 5x_1^2x_3 + \cdots$



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- ▶  $e_n = \sum_{i_1 < \dots < i_n} x_{i_1}x_{i_2} \cdots x_{i_n}$ , and  $e_\lambda = e_{\lambda_1}e_{\lambda_2} \cdots e_{\lambda_k}$ .

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$$s_\lambda = \sum_{T \text{ a column strict tableau of shape } \lambda} X^T.$$

# Quasi-symmetric Functions

- ▶  $f(X) \in \mathbb{R}[[x]]$  is a **quasi-symmetric function** if for each composition  $\mathfrak{o}(\alpha_1, \dots, \alpha_k)$ , the coefficient of the monomial  $x_1^{\alpha_1} x_2^{\alpha_2} \cdots x_k^{\alpha_k}$  is equal to the coefficient of the monomial  $x_{i_1}^{\alpha_1} x_{i_2}^{\alpha_2} \cdots x_{i_k}^{\alpha_k}$  for any strictly increasing sequence of positive integers  $i_1 < i_2 < \cdots < i_k$ .

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$$F_S = \sum_{i_1 \leq i_2 \leq \dots \leq i_n, i_j < i_{j+1} \text{ if } j \in S} x_{i_1} x_{i_2} \cdots x_{i_n}$$

is the **fundamental quasi-symmetric function** associated with a set  $S \subset [n - 1]$ .

# Arm and Leg of a Cell

Given any partition  $\mu \vdash n$ , we can draw the Ferrers diagram (in French notation) of  $\mu$  as shown in Figure 1.

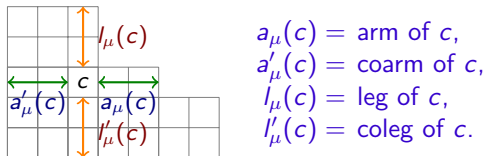


Figure 1: The Young tableau of the partition  $(7, 7, 5, 3, 3)$

Then for each cell  $c \in \mu$ , we have the **arm**  $a_\mu(c)$ , the **coarm**  $a'_\mu(c)$ , the **leg**  $l_\mu(c)$ , and the **coleg**  $l'_\mu(c)$  of  $c$ .

# Macdonald polynomials

- ▶ The **Macdonald polynomial**  $\tilde{H}_\mu(X; q, t)$  is a  $q, t$ -weighted symmetric function given by

$$\tilde{H}_\mu(X; q, t) = \sum_{\sigma: \mu \rightarrow \mathbb{Z}_+ \text{ injective tableau}} q^{\text{inv}(\sigma)} t^{\text{maj}(\sigma)} X^\sigma.$$

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- ▶ The symmetric function operator **nabla**  $\nabla$  is the **eigenoperator on Macdonald polynomials** defined by Bergeron and Garsia where

$$\nabla \tilde{H}_\mu(X; q, t) = T_\mu \tilde{H}_\mu(X; q, t).$$

Here  $T_\mu = \prod_{c \in \mu} q^{a'_\mu(c)} t^{l'_\mu(c)}$ .

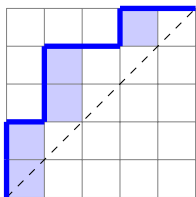


# Dyck Paths and Parking Functions

## Definition (Dyck path)

An  $n \times n$  Dyck path is a lattice path from  $(0, 0)$  to  $(n, n)$  consisting of east and north steps which stays above the diagonal  $y = x$ .

We can get an  $n \times n$  parking function by labeling the cells east of and adjacent to a north step of a Dyck path.



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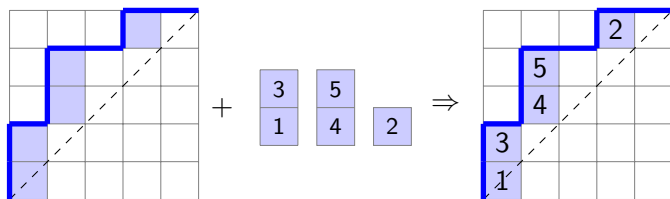


Figure 2: The construction of a parking function

# Area of a Dyck Path

## Definition (area)

The number of full cells between an  $(n, n)$ -Dyck path  $\Pi$  and the main diagonal is denoted  $area(\Pi)$ .

The collection of cells above a Dyck path  $\Pi$  forms an the Ferrers diagram (English) of a partition  $\lambda(\Pi)$ .

Ex.  $\lambda(\Pi) = (3, 3, 1, 1)$ , .

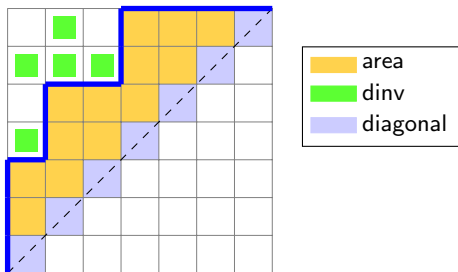


Figure 3: A  $(7, 7)$ -Dyck path

# Dinv of a Dyck Path

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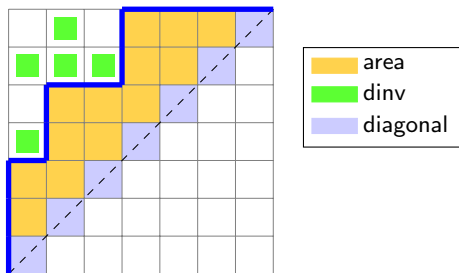
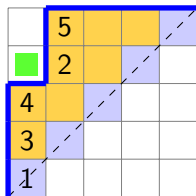


Figure 3: A  $(7, 7)$ -Dyck path

## Statistics of an $(n, n)$ -PF

- ▶  $\text{area}(\text{PF}) = \text{area}(\Pi(\text{PF})) = 8,$
- ▶ **rank** of a cell is  $\text{rank}(x, y) = (n + 1)y - nx,$
- ▶  $\text{dinv}(\text{PF}) = \sum_{\text{cars } i < j} \chi(\text{rank}(i) < \text{rank}(j) \leq \text{rank}(i) + n) = 0,$
- ▶ **word**  $\sigma$ : reading cars from highest  $\rightarrow$  lowest rank.  
 $\sigma(\text{PF}) = 52431.$
- ▶  $\text{ides}(\sigma) = \{i \in \sigma : i + 1 \leftarrow i\},$   $\text{pides}(\sigma)$  is the composition corresponding to  $\text{ides}(\sigma).$   $\text{ides}(\text{PF}) = \{1, 3, 4\}$  and  $\text{pides}(\text{PF}) = \{1, 2, 1, 1\}.$



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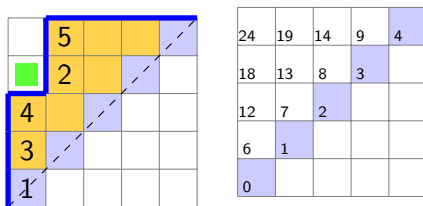


Figure 4: A  $(5, 5)$ -Parking Function

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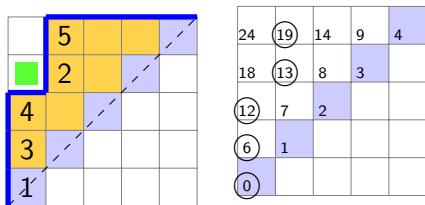


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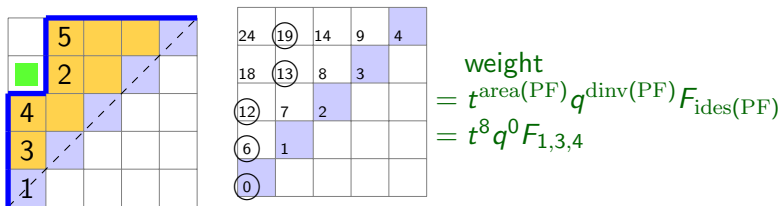


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# Classical Shuffle Conjecture

The **bigraded Frobenius characteristic** of the  $\mathcal{S}_n$ -module (under the diagonal action) of the ring of diagonal harmonics is given by  $\nabla e_n$ .

The **classical shuffle conjecture** of Haglund, Haiman, Loehr, Remmel, and Ulyanov(2005) gives a well-studied combinatorial expression for the bigraded Frobenius characteristic of the ring of diagonal harmonics:

**Conjecture (Haglund-Haiman-Loehr-Remmel-Ulyanov)**

*For all  $n \geq 0$ ,*

$$\nabla e_n = \sum_{PF \in \mathcal{PF}_n} t^{\text{area}(PF)} q^{\text{dinv}(PF)} F_{\text{idcs}(PF)}.$$

## Symmetric Function Side Extension — $Q_{m,n}$ Operators

Gorsky and Negut introduced operator  $Q_{m,n}$  and extended the shuffle conjecture from  $\nabla e_n$  to  $Q_{m,n}(-1)^n$ .

The main actors on the symmetric function side of the Gorsky-Negut conjecture are the operators  $D_k$  for each integer  $k$ , which were introduced in Garsia et al.(1999). The action of  $D_k$  on a symmetric function  $F[X]$  is defined as

$$D_k F[X] = F \left[ X + \frac{M}{z} \right] \sum_{i \geq 0} (-z)^i e_i[X] \Big|_{z^k},$$

where  $M = (1 - t)(1 - q)$ .

## Symmetric Function Side Extension — $Q_{m,n}$ Operators

We will construct a family of symmetric function operators  $Q_{a,b}$  for any pair of positive integers  $(a, b)$ . It will be convenient to use the notation  $Q_{km, kn}$  with  $(m, n)$  coprime.

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- ▶ For any  $n \geq 0$ , set  $Q_{1,n} = D_n$ .
- ▶ Then we will **recursively** define  $Q_{m,n}$  as follows for  $m > 1$ . Consider the  $m \times n$  lattice with diagonal  $y = \frac{n}{m}x$ . Let  $(a, b)$  be the lattice point which is closest to and below the diagonal. Set  $(c, d) = (m - a, n - b)$ . We will write

$$\text{Split}(m, n) = (a, b) + (c, d).$$

Then let

$$Q_{m,n} = \frac{1}{M} [Q_{c,d}, Q_{a,b}] = \frac{1}{M} (Q_{c,d} Q_{a,b} - Q_{a,b} Q_{c,d}).$$

# Symmetric Function Side Extension — $Q_{m,n}$ Operators

Figure 5 gives an example of  $\text{Split}(3, 5)$ .

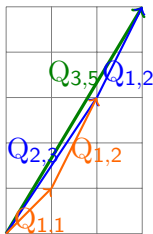


Figure 5: The geometry of  $\text{Split}(3, 5)$

$\text{Split}(3, 5) = (2, 3) + (1, 2)$  so that  $Q_{3,5} = \frac{1}{M}[Q_{1,2}, Q_{2,3}]$ .

The same procedure gives  $Q_{2,3} = \frac{1}{M}[Q_{1,2}, Q_{1,1}]$ . Therefore

$$Q_{3,5} = \frac{1}{M^2}[D_2, [D_2, D_1]] = \frac{1}{M^2}(D_2 D_2 D_1 - 2D_2 D_1 D_2 + D_1 D_2 D_2).$$

# Combinatorial Side Extension – Rational Dyck Paths

## Definition (Rational Dyck path)

An  $(m, n)$ -Dyck path is a lattice paths from  $(0, 0)$  to  $(m, n)$  which always remains weakly above the main diagonal  $y = \frac{n}{m}x$ .

The cells that are passed through by the main diagonal are marked as **diagonal** cells.

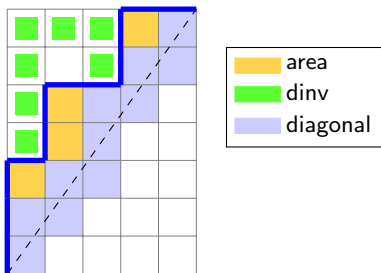


Figure 6: A rational Dyck path

# Rational Dyck Paths

## Definition (area)

The number of full cells between an  $(m, n)$ -Dyck path  $\Pi$  and the main diagonal is denoted  $area(\Pi)$ .

The collection of cells above a Dyck path  $\Pi$  forms the Ferrers diagram (in English notation) of a partition  $\lambda(\Pi)$ . Ex.

$$\lambda(\Pi) = (3, 3, 1, 1), \quad \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & & \square \\ \hline \square & & \\ \hline \square & & \\ \hline \end{array} .$$

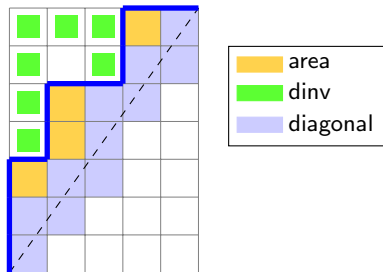


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## Definition (pdinv)

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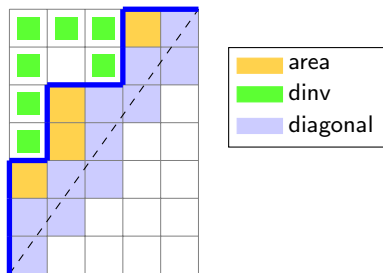
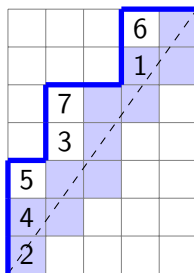


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# Rational Parking Functions

- ▶  $\text{area}(\text{PF}) = \text{area}(\Pi(\text{PF})) = 4$ ,
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- ▶ **word**  $\sigma$ : reading cars from highest  $\rightarrow$  lowest rank.  
 $\sigma(\text{PF}) = 7563412$ .
- ▶  $\text{ides}(\sigma) = \{i \in \sigma : i + 1 \leftarrow i\}$ ,  $\text{pides}(\sigma)$  is the composition set of  $\text{ides}(\sigma)$ .  $\text{ides}(\text{PF}) = \{2, 4, 6\}$  and  $\text{pides}(\text{PF}) = \{2, 2, 2, 1\}$ .



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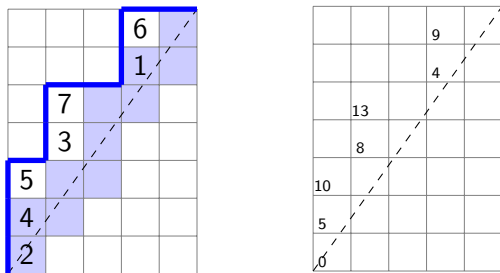


Figure 7: A (5, 7)-parking function and the ranks of its cars

# Rational Parking Functions

## Definition (tdinv)

$$\text{tdinv}(\text{PF}) = \sum_{\text{cars } i < j} \chi(\text{rank}(i) < \text{rank}(j) < \text{rank}(i) + m).$$

In Figure 7, the pairs of cars contributing to  $\text{tdinv}$  are  $(1, 3)$ ,  $(1, 4)$ ,  $(3, 5)$ ,  $(3, 6)$ ,  $(4, 6)$ ,  $(5, 7)$  and  $(6, 7)$ .

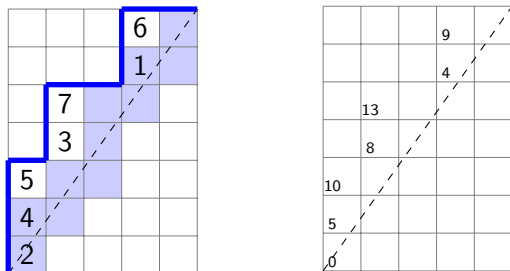


Figure 7: A  $(5, 7)$ -parking function and the ranks of its cars

# Rational Parking Functions

Leven and Hicks gave a simplified formula for the **dinv** of a PF. Set  $\frac{0}{0} = 0$  and  $\frac{x}{0} = \infty$  for all  $x \neq 0$ , then

Definition (dinvcorr)

$$\begin{aligned} \text{dinvcorr}(\Pi) = & \sum_{c \in \lambda(\Pi)} \chi \left( \frac{\text{arm}(c) + 1}{\text{leg}(c) + 1} \leq \frac{m}{n} < \frac{\text{arm}(c)}{\text{leg}(c)} \right) \\ & - \sum_{c \in \lambda(\Pi)} \chi \left( \frac{\text{arm}(c)}{\text{leg}(c)} \leq \frac{m}{n} < \frac{\text{arm}(c) + 1}{\text{leg}(c) + 1} \right). \end{aligned}$$

Definition (dinv(PF))

Let PF be any  $(m, n)$ -parking function with underlying Dyck path  $\Pi$ , then

$$\text{dinv}(\text{PF}) = \text{tdinv}(\text{PF}) + \text{dinvcorr}(\Pi).$$

# Rational Parking Functions

- ▶ If  $n > m$  then

$$\text{dinv}(\text{PF}) = \text{tdinv}(\text{PF}) - \sum_{c \in \lambda(\Pi)} \chi \left( \frac{\text{arm}(c)}{\text{leg}(c)} \leq \frac{m}{n} < \frac{\text{arm}(c) + 1}{\text{leg}(c) + 1} \right).$$

- ▶ If  $n = m$  then  $\text{dinv}(\text{PF}) = \text{tdinv}(\text{PF})$ .

- ▶ Finally, if  $n < m$  then

$$\text{dinv}(\text{PF}) = \text{tdinv}(\text{PF}) + \sum_{c \in \lambda(\Pi)} \chi \left( \frac{\text{arm}(c) + 1}{\text{leg}(c) + 1} \leq \frac{m}{n} < \frac{\text{arm}(c)}{\text{leg}(c)} \right).$$

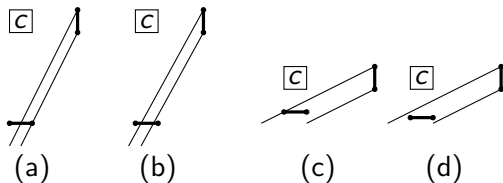


Figure 8: Types of cells that contribute to  $\text{dinvcorr}$

# Rational Parking Functions

## Definition (*ret*)

The *ret* of a  $(km, kn)$ -parking function PF is the **smallest** positive  $i$  such that the supporting path of PF goes through the point  $(im, in)$ .

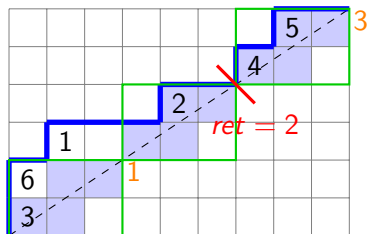


Figure 9: The *ret* of a  $(9,6)$ -parking function

# Extension of Shuffle Conjecture

In 2012, [Hikita](#) defined the [Hikita polynomial](#) to extend the combinatorial side of the shuffle conjecture to rational parking functions:

$$H_{m,n}[X; q, t] = \sum_{PF \in \mathcal{PF}_{m,n}} t^{\text{area}(PF)} q^{\text{dinv}(PF)} F_{\text{idcs}(PF)}[X].$$

Then the classical shuffle conjecture of HHLRU can be restated as follows.

[Conjecture \(Haglund-Haiman-Loehr-Remmel-Ulyanov\)](#)

For all  $n \geq 0$ ,

$$\nabla e_n = H_{n+1,n}[X; q, t].$$

# Rational Shuffle Conjecture

In 2013, [Gorsky](#) and [Negut](#) introduced the operator  $Q_{m,n}$  and give a symmetric function expression for each coprime pair  $(m, n)$  which conjecturally coincides with  $H_{m,n}[X; q, t]$ .

## Conjecture (Gorsky-Negut)

*For all pairs of coprime positive integers  $(m, n)$ , we have*

$$Q_{m,n}(-1)^n = H_{m,n}[X; q, t].$$



# Rational Shuffle Conjecture

In 2015, Garsia, Leven, Wallach and Xin extended the conjecture of Gorsky and Negut to any pair of integers  $(km, kn)$ :

## Conjecture (Garsia, Leven, Wallach and Xin)

*For all pairs of coprime positive integers  $(m, n)$  and any positive integer  $k$ , we have*

$$Q_{km, kn}(-1)^{kn} = \sum_{PF \in \mathcal{PF}_{km, kn}} [\text{ret}(PF)]_{\frac{1}{t}} t^{\text{area}(PF)} q^{\text{dinv}(PF)} F_{\text{idcs}(PF)}[X],$$

# Rational Shuffle Conjecture – Solved

In 2015, [Carlson](#) and [Mellit](#) proved the [Classical Shuffle Conjecture](#) that

$$\nabla e_n = H_{n+1,n}[X; q, t] = \sum_{\text{PF} \in \mathcal{PF}_{n+1,n}} t^{\text{area}(\text{PF})} q^{\text{dinv}(\text{PF})} F_{\text{ides}(\text{PF})}[X].$$

# Rational Shuffle Conjecture – Solved

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In April 2016, [Mellit](#) proved the [Rational Shuffle Conjecture](#) that

$$Q_{km, kn}(-1)^{kn} = \sum_{\text{PF} \in \mathcal{PF}_{km, kn}} [\text{ret}(\text{PF})]_{\frac{1}{t}} t^{\text{area}(\text{PF})} q^{\text{dinv}(\text{PF})} F_{\text{ides}(\text{PF})}[X].$$

The real problem for the ring of diagonal harmonics and the  $Q_{m,n}$  operators  
Find the Schur function expansions.

The **real problem** is to find the Schur function  $(\{s_\lambda\})$  expansion of  $\nabla e_n$ .

Similarly, we want to find the Schur function expansion of  $Q_{m,n}(-1)^n$ .

# Schur Basis Expansion of Rational Shuffle Conjecture

$[n]_{q,t}$  is the  $q, t$ -analogue of an integer that

$$[n]_{q,t} = \frac{q^n - t^n}{q - t} = q^{n-1} + q^{n-2}t + \cdots + t^{n-1}.$$

# Schur Basis Expansion of Rational Shuffle Conjecture

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$$[n]_{q,t} = \frac{q^n - t^n}{q - t} = q^{n-1} + q^{n-2}t + \cdots + t^{n-1}.$$

In 2014, Leven worked out the Schur basis Expansion for both sides of the [rational shuffle conjecture](#) when  $n = 2$  and  $m = 2$  that

## Theorem

For any  $k \geq 0$ ,

$$Q_{2k+1,2} \mathbf{1} = H_{2k+1,2}[X; q, t] = [k]_{q,t} s_2 + [k+1]_{q,t} s_{1,1}$$

and

$$Q_{2,2k+1} \mathbf{1} = H_{2,2k+1}[X; q, t] = \sum_{r=0}^k [k+1-r]_{q,t} s_{2^r 1^{2k+1-2r}}.$$

# Schur Basis Expansion of Rational Shuffle Conjecture

Now from the [extended rational shuffle conjecture](#) of Garsia, Leven, Wallach and Xin that

$$Q_{km, kn}(-1)^{kn} = \sum_{PF \in \mathcal{PF}_{km, kn}} [\text{ret}(PF)]_{\frac{1}{t}} t^{\text{area}(PF)} q^{\text{dinv}(PF)} F_{\text{ides}(PF)}[X],$$

we have worked out that

$$Q_{2k, 2}1 = H_{2k, 2}[X; q, t] = ([k]_{q, t} + [k-1]_{q, t})s_2 + ([k+1]_{q, t} + [k]_{q, t})s_{1, 1}$$

and

$$Q_{2, 2k}1 = H_{2, 2k}[X; q, t] = \sum_{r=0}^k ([k+1-r]_{q, t} + [k-r]_{q, t})s_{2r}1^{2k+1-2r}.$$

# Schur Basis Expansion of Rational Shuffle Conjecture

- ▶ Problem: the Schur basis( $\{s_\lambda\}$ ) Expansion of both sides.



# Schur Basis Expansion of Rational Shuffle Conjecture

- ▶ Problem: the Schur basis( $\{s_\lambda\}$ ) Expansion of both sides.
- ▶ Our main result is the Schur expansion for  $(m, 3)$  case and some partial results about  $(3, n)$  case.

# Schur Basis Expansion of Rational Shuffle Conjecture

- ▶ Problem: the Schur basis( $\{s_\lambda\}$ ) Expansion of both sides.
- ▶ Our main result is the Schur expansion for  $(m, 3)$  case and some partial results about  $(3, n)$  case.
- ▶ We begin with the observation of  $Q_{m,3}(-1)$ . We take  $m = 3k + 1$  for an example.

# Coefficients of $s_\lambda$ in $Q_{3k+1,3}(-1)$

$Q_{3k+1,3}(-1) \backslash s_\lambda$	$s_3$	$s_{21}$	$s_{1^3}$
$Q_{1,3}(-1)$	0	0	$[1]_{q,t}$
$Q_{4,3}(-1)$	$[1]_{q,t}$	$[2]_{q,t} + [3]_{q,t}$	$[1]_{q,t}$ $+ qt[4]_{q,t}$
$Q_{7,3}(-1)$	$[4]_{q,t}$ $+ qt[1]_{q,t}$	$[5]_{q,t} + [6]_{q,t}$ $+ qt([2]_{q,t} + [3]_{q,t})$	$[7]_{q,t}$ $+ qt[4]_{q,t}$ $+ (qt)^2[1]_{q,t}$
$Q_{10,3}(-1)$	$[7]_{q,t}$ $+ qt[4]_{q,t}$ $+ (qt)^2[1]_{q,t}$	$[8]_{q,t} + [9]_{q,t}$ $+ qt([5]_{q,t} + [6]_{q,t})$ $+ (qt)^2([2]_{q,t} + [3]_{q,t})$	$[10]_{q,t}$ $+ qt[7]_{q,t}$ $+ (qt)^2[4]_{q,t}$ $+ (qt)^3[1]_{q,t}$
$Q_{13,3}(-1)$	$[10]_{q,t}$ $+ qt[7]_{q,t}$ $+ (qt)^2[4]_{q,t}$ $+ (qt)^3[1]_{q,t}$	$[11]_{q,t} + [12]_{q,t}$ $+ qt([8]_{q,t} + [9]_{q,t})$ $+ (qt)^2([5]_{q,t} + [6]_{q,t})$ $+ (qt)^3([2]_{q,t} + [3]_{q,t})$	$[13]_{q,t}$ $+ qt[10]_{q,t}$ $+ (qt)^2[7]_{q,t}$ $+ (qt)^3[4]_{q,t}$ $+ (qt)^4[1]_{q,t}$

# Main Result

Formula for the Coefficients of Schur function expansion when  $n = 3$ .

## Theorem

Let  $[s_\lambda]_{m,n}$  be the *coefficient of Schur basis  $s_\lambda$  in the polynomial  $Q_{m,n}(-1)$*  and the polynomial  $H_{m,n}[X; q, t]$ , then

(1)

$$[s_3]_{3k+1,3} = \sum_{i=0}^{k-1} (qt)^{k-1-i} [3i+1]_{q,t},$$

$$[s_{21}]_{3k+1,3} = \sum_{i=0}^{k-1} (qt)^{k-1-i} ([3i+2]_{q,t} + [3i+3]_{q,t}),$$

$$[s_{1^3}]_{3k+1,3} = [s_3]_{3k+4,3};$$

## Formula for the Coefficients of Schur Basis When $n = 3$

(2)

$$[s_3]_{3k+2,3} = \sum_{i=0}^{k-1} (qt)^{k-1-i} [3i+2]_{q,t},$$

$$[s_{21}]_{3k+2,3} = \sum_{i=0}^k (qt)^{k-1-i} ([3i]_{q,t} + [3i+1]_{q,t}),$$

$$[s_{1^3}]_{3k+2,3} = [s_3]_{3k+5};$$

(3)

$$[s_3]_{3k,3} = \sum_{i=0}^{k-1} (qt)^{k-1-i} ([3i-1]_{q,t} + [3i]_{q,t} + [3i+1]_{q,t}),$$

$$\begin{aligned} [s_{21}]_{3k,3} &= (qt)^{k+1} ([3]_{q,t} + 2[2]_{q,t} + [1]_{q,t}) \\ &\quad + \sum_{i=1}^{k-1} (qt)^{k-1-i} ([3i]_{q,t} + 2[3i+1]_{q,t} \\ &\quad \quad \quad + 2[3i+2]_{q,t} + [3i+3]_{q,t}), \end{aligned}$$

$$[s_{1^3}]_{3k,3} = [s_3]_{3k+3}.$$

## Example: Formula for $[s_3]_{3k+1,3}$

### Theorem

The coefficient of Schur basis  $s_3$  in the polynomial  $Q_{3k+1,3}(-1)$  and the polynomial  $H_{3k+1,3}[X; q, t]$  is

$$[s_3]_{3k+1,3} = \sum_{i=0}^{k-1} (qt)^{k-1-i} [3i+1]_{q,t}$$

# Symmetric Function Side

## The Coefficient of Schur Basis $s_3$ in the Polynomial $Q_{3k+1,3}(-1)$

We need the following lemma from Bergeron, Garsia, Leven and Xin to prove the symmetric function side of the theorem.

### Lemma

*For any positive  $m, n$ ,*

$$\nabla Q_{m,n} \nabla^{-1} = Q_{m+n,n}.$$



# Symmetric Function Side

## The Coefficient of Schur Basis $s_3$ in the Polynomial $Q_{3k+1,3}(-1)$

We need the following lemma from Bergeron, Garsia, Leven and Xin to prove the symmetric function side of the theorem.

### Lemma

For any positive  $m, n$ ,

$$\nabla Q_{m,n} \nabla^{-1} = Q_{m+n,n}.$$

From the lemma, we can get a recursion for  $Q_{m,n}$  operator that

$$Q_{m+n,n}(-1)^n = \nabla Q_{m,n} \nabla^{-1}(-1)^n = \nabla Q_{m,n}(-1)^n, \quad \text{and}$$

$$Q_{3(k+1)+1,3}(-1)^n = \nabla Q_{3k+1,3} \nabla^{-1}(-1)^n = \nabla Q_{3k+1,3}(-1)^n.$$

## Algebraic Proof

We first apply the operator  $\nabla$  to the Schur basis  $s_3$ ,  $s_{21}$  and  $s_{1^3}$ :

$$\nabla s_3 = (qt)^2 s_{21} + (qt)^2 [2]_{q,t} s_{1^3},$$

$$\nabla s_{21} = (qt)[2]_{q,t} s_{21} - (qt)[3]_{q,t} s_{1^3},$$

$$\nabla s_{1^3} = s_3 + ([2]_{q,t} + [3]_{q,t}) s_{21} + (qt + [4]_{q,t}) s_{1^3}.$$

## Algebraic Proof

We first apply the operator  $\nabla$  to the Schur basis  $s_3$ ,  $s_{21}$  and  $s_{1^3}$ :

$$\begin{aligned}\nabla s_3 &= (qt)^2 s_{21} + (qt)^2 [2]_{q,t} s_{1^3}, \\ \nabla s_{21} &= (qt) [2]_{q,t} s_{21} - (qt) [3]_{q,t} s_{1^3}, \\ \nabla s_{1^3} &= s_3 + ([2]_{q,t} + [3]_{q,t}) s_{21} + (qt + [4]_{q,t}) s_{1^3}.\end{aligned}$$

Then we can apply  $\nabla$  to the polynomial  $Q_{3k+1,3}(-1)$ .

$$\begin{aligned}& \nabla Q_{3k+1,3}(-1) \\ &= \nabla ([s_3]_{3k+1,3} s_3 + [s_{21}]_{3k+1,3} s_{21} + [s_{1^3}]_{3k+1,3} s_{1^3}) \\ &= [s_3]_{3k+1,3} \nabla s_3 + [s_{21}]_{3k+1,3} \nabla s_{21} + [s_{1^3}]_{3k+1,3} \nabla s_{1^3} \\ &= [s_{1^3}]_{3k+1,3} s_3 \\ &\quad + [(qt)^2 [s_3]_{3k+1,3} - qt [2]_{q,t} [s_{21}]_{3k+1,3} + ([2]_{q,t} + [3]_{q,t}) [s_{1^3}]_{3k+1,3}] \\ &\quad + [(qt)^2 [2]_{q,t} [s_3]_{3k+1,3} - qt [3]_{q,t} [s_{21}]_{3k+1,3} + (qt + [4]_{q,t}) [s_{1^3}]_{3k+1,3}] \\ &= [s_3]_{3k+4,3} s_3 + [s_{21}]_{3k+4,3} s_{21} + [s_{1^3}]_{3k+4,3} s_{1^3},\end{aligned}$$

# Algebraic Proof

and the recursion from  $[s_\lambda]_{3k+1,3}$  to  $[s_\lambda]_{3k+4,3}$  is clear that

$$[s_3]_{3k+4,3} = [s_{1^3}]_{3k+1,3},$$

$$[s_{21}]_{3k+4,3} = (qt)^2 [s_3]_{3k+1,3} - qt [2]_{q,t} [s_{21}]_{3k+1,3} + ([2]_{q,t} + [3]_{q,t}) [s_{1^3}]_{3k+1,3},$$

$$[s_{1^3}]_{3k+4,3} = (qt)^2 [2]_{q,t} [s_3]_{3k+1,3} - qt [3]_{q,t} [s_{21}]_{3k+1,3} + (qt + [4]_{q,t}) [s_{1^3}]_{3k+1,3}$$

## Combinatorial Side – From $F_\alpha$ to $s_\lambda$

Hikita(2012) proved that Hikita polynomials  $H_{m,n}[X; q, t]$  are symmetric (in  $X$ ) for any coprime  $m, n$ .

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### Theorem (Garsia and Remmel)

Suppose that  $P(X)$  is a symmetric function which is homogeneous of degree  $n$  and  $P(X) = \sum_{\alpha \vdash n} a_\alpha F_\alpha(X)$ , Then

$$P(X) = \sum a_\alpha s_{\tilde{\alpha}}(X).$$

Here  $\tilde{\alpha}$  is the composition set of  $\alpha$ , and  $s_\alpha(X) = \frac{\Delta_\alpha(X)}{\Delta(X)}$ .

This allows us to transform  $H_{m,n}[X; q, t]$  into Schur function expansion.

## From $F_\alpha$ to $s_\lambda$ — Straightening

- ▶ Let  $\alpha = (\alpha_1, \dots, \alpha_k)$  be a composition of  $n$ . Suppose that for some  $i$ ,  $\alpha_i < \alpha_{i+1}$  (i.e.  $\alpha$  is not a partition). Then  $s_\alpha = -s_{(\alpha_1, \dots, \alpha_{i+1}-1, \alpha_i+1, \dots, \alpha_k)}$ . This action is called **straightening**.



## From $F_\alpha$ to $s_\lambda$ — Straightening

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- ▶ Repeatedly applying this procedure will eventually yield a partition or a composition  $\alpha'$  such that  $\alpha'_j = \alpha'_{j+1} - 1$  for some  $j$ . In the latter case, the straightening action yields  $s_{\alpha'} = -s_{\alpha'}$ , hence  $s_{\alpha'} = 0$ .
- ▶ Ex.  $s_{2,3,1} = -s_{3-1,2+1,1} = -s_{2,3,1} = 0$ .
- ▶ Ex.  $s_{1,3,1} = -s_{3-1,1+1,1} = -s_{2,2,1}$ .

## Notation for the Coeff of $s_\lambda$

- ▶ We define

$$\begin{aligned} [s_\sigma]_{m,n}(q, t) &= \sum_{\text{PF} \in \mathcal{PF}_{m,n,s_\sigma}} t^{\text{area}(\text{PF})} q^{\text{dinv}(\text{PF})} \frac{s_{\text{pides}(\text{PF})}}{s_\sigma} \\ &= \sum_{\alpha \text{ straightened to } \sigma} h_{m,n,\text{pides } \alpha}(q, t) \frac{s_\alpha}{s_\sigma}. \end{aligned}$$

- ▶ Then naturally  $[s_\sigma]_{m,n}(q, t)$  is the **coefficient** of  $s_\sigma$  in  $H_{m,n}[X; q, t]$ , i.e.

$$H_{m,n}[X; q, t] = \sum_{\sigma \vdash n} [s_\sigma]_{m,n}(q, t) s_\sigma.$$

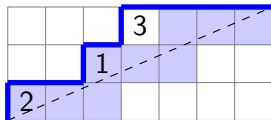
## Notation for the Coeff of $s_\lambda$

- ▶ Recall that the combinatorial side is the Hikita polynomial:

$$H_{m,3}[X; q, t] = \sum_{PF \in \mathcal{PF}_{m,3}} t^{\text{area}(PF)} q^{\text{dinv}(PF)} F_{\text{idess}(PF)}[X].$$

- ▶ By the action **straightening**, we can transform it to

$$H_{m,3}[X; q, t] = \sum_{PF \in \mathcal{PF}_{m,3}} t^{\text{area}(PF)} q^{\text{dinv}(PF)} s_{\text{pides}(PF)}[X].$$



## Combinatorial Side Proof

Any parking function  $\text{PF} \in \mathcal{PF}_{m,3}$  has 3 rows, thus has only 3 cars: 1, 2, 3. So the word  $\sigma(\text{PF})$  can be any permutation  $\sigma \in \mathcal{S}_3$ . Table 1 shows the  $s_{\text{pides}}$  contribution of the 6 permutations in  $\mathcal{S}_3$ .

$\sigma \in \mathcal{S}_3$	$s_{\text{pides}}$
123	$s_3$
132	$s_{21}$
213	$s_{12} = 0$
231	$s_{21}$
312	$s_{12} = 0$
321	$s_{1^3}$

Table 1: Coefficients of  $s_\lambda$  in  $Q_{3k+1,3}(-1)$

Since there are only 3 partitions of 3:  $\{3, 21, 1^3\}$ , the Hikita polynomial of  $(m, 3)$  case is

$$H_{m,3}[X; q, t] = [s_3]_{m,3} s_3 + [s_{21}]_{m,3} s_{21} + [s_{1^3}]_{m,3} s_{1^3}.$$

# Combinatorial Side Proof

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Table 1: Coefficients of  $s_\lambda$  in  $Q_{3k+1,3}(-1)$

From the table we can see that

- ▶  $[s_3]_{m,3} = h_{m,3,\text{word } 123}$ ,
- ▶  $[s_{21}]_{m,3} = h_{m,3,\text{word } 132} + h_{m,3,\text{word } 231}$ ,
- ▶  $[s_{1^3}]_{m,3} = h_{m,3,\text{word } 321}$ ,

# The combinatorics of $[s_3]_{3k+1,3}$

We take  $[s_3]_{3k+1,3}$  as an example. We will construct

$$[s_3]_{3k+1,3} = \sum_{i=0}^{k-1} (qt)^{k-1-i} [3i+1]_{q,t}.$$

Since  $[s_3]_{m,3} = h_{m,3,\text{word } 123}$ , we are looking at the set of parking functions in  $\mathcal{PF}_{m,3,\text{word } 123}$ .

This set  $\mathcal{PF}_{m,3,\text{word } 123}$  of parking functions can be obtained by adding cars **1, 2, 3** in a **rank-decreasing** way to a  $m \times 3$  Dyck path, and smaller cars can't be put on top of bigger cars, so we have one  $\text{PF} \in \mathcal{PF}_{m,3,\text{word } 123}$  on each  $m \times 3$  Dyck path with **no consecutive  $u, u$  steps**.

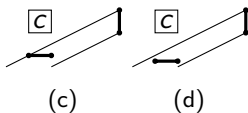
# The combinatorics of $[s_3]_{3k+1,3}$

Recall that  $\text{tdinv}$  is defined as

$$\text{tdinv}(\text{PF}) = \sum_{\text{cars } i < j} \chi(\text{rank}(i) < \text{rank}(j) < \text{rank}(i) + m).$$

Since the word is 123, we have  $\text{rank}(1) > \text{rank}(2) > \text{rank}(3)$ , so there will always be **no  $\text{tdinv}$**  for  $\text{PF} \in \mathcal{PF}_{m,3,\text{word}123}$ . Since  $m = 3k + 1 > n = 3$  for  $k \geq 1$ , the  $\text{dinv}$  correction is of the third type. We have

$$\text{dinv}(\text{PF}) = \text{dinvcorr}(\text{PF}) = \sum_{c \in \lambda(\Pi)} \chi\left(\frac{\text{arm}(c) + 1}{\text{leg}(c) + 1} \leq \frac{m}{n} < \frac{\text{arm}(c)}{\text{leg}(c)}\right).$$



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The partition  $\lambda(\Pi)$  correspond with the Dyck path  $\Pi$  of  $\text{PF} \in \mathcal{PF}_{m,3}$  is at most of height 2, so the **leg** of cells in  $\lambda(\Pi)$  can be either 0 or 1. Taking Figure 9 for reference, we have

- (a) Cells in  $\lambda(\Pi)$  with **leg** = 0 and  $1 < \text{arm} < k$  contribute 1 to **dinv** correction, marked  $\circ$  in Figure 9,
- (b) Cells in  $\lambda(\Pi)$  with **leg** = 1 and  $k < \text{arm} < 2k - 1$  contribute 1 to **dinv** correction, marked  $\triangle$  in Figure 9.

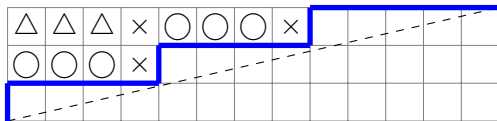


Figure 9: The **dinv** correction of a  $(3k + 1) \times 3$  Dyck path



## The combinatorics of $[s_3]_{3k+1,3}$

We can count  $\text{dinv}$  correction and area according to the partition  $\lambda(\Pi)$  of the path  $\Pi$ . Each path  $\Pi$  corresponds with a partition  $\lambda = (\lambda_1, \lambda_2) \subseteq \lambda_0 = (2k, k)$ . Let  $\text{dinvcorr}(\lambda(\Pi)) = \text{dinvcorr}(\Pi)$  and  $\text{area}(\lambda(\Pi)) = \text{area}(\Pi)$ , then

$$\text{area}(\Pi) = 2k - \lambda_1 - \lambda_2,$$

and we can also write the formula for  $\text{dinv}$  correction:

$$\text{dinvcorr}(\lambda) = \begin{cases} \lambda_1 - 2 & \text{if } \lambda_2 \geq 1, 1 \leq \lambda_1 - \lambda_2 \leq k, \text{ and } \lambda_1 \leq k \\ 2\lambda_1 - k - 3 & \text{if } \lambda_2 \geq 1, 1 \leq \lambda_1 - \lambda_2 \leq k, \text{ and } \lambda_1 \geq k + 1. \\ 2\lambda_2 + k - 2 & \text{if } \lambda_2 \geq 1 \text{ and } \lambda_1 - \lambda_2 \geq k + 1 \end{cases}$$

# The combinatorics of $[s_3]_{3k+1,3}$

Now for  $[s_3]_{3k+1,3} = \sum_{i=0}^{k-1} (qt)^{k-1-i} [3i+1]_{q,t}$ , we construct each term  $(qt)^{k-1-i} [3i+1]_{q,t}$  as a sequence of parking functions.

For each  $i$ , we have 3 branches of partitions (or parking functions):

$$\Lambda_1 = \{(k+i+1, k), (k+i, k-1), \dots, (k+1, k-i)\},$$

$$\Lambda_2 = \{(2k, i), (2k-1, i-1), \dots, (2k+1-i, 1)\},$$

$$\Lambda_3 = \{(k+1, i+1), (k, i+1), \dots, (i+2, i+1)\}.$$

- ▶ The branch  $\Lambda_1$  contains  $\lambda$ 's such that  $\lambda_1 - \lambda_2 = i+1 \leq k$  with  $\lambda_2 \geq i+1$ ,
- ▶ the branch  $\Lambda_2$  contains all  $\lambda$ 's such that  $\lambda_1 - \lambda_2 = 2k - i > k$ , and
- ▶ the branch  $\Lambda_3$  contains  $\lambda$ 's such that  $\lambda_2 = i+1$  and  $\lambda_1 - \lambda_2 \leq k - i$ .

# The combinatorics of $[s_3]_{3k+1,3}$

$|\Lambda_1| = |\Lambda_2| + 1$ , and the last partition of  $\Lambda_1$  is the same as the first partition in  $\Lambda_3$ . So as shown in Figure 10, the construction begin with alternatively taking partitions from  $\Lambda_1$  and  $\Lambda_2$ , ending with the last partition of  $\Lambda_1$ . Then continue the chain by taking partitions in  $\Lambda_3$  and end the chain with the last partition  $(k - i + 1, k - i)$  in  $\Lambda_3$ .

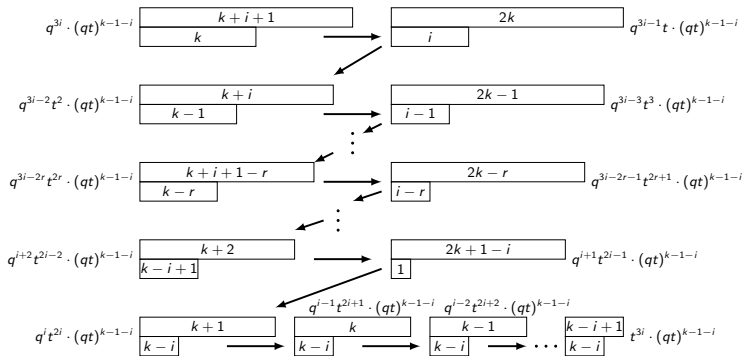


Figure 10: The construction of  $(qt)^{k-1-i}[3i+1]_{q,t}$

# The combinatorics of $[s_3]_{3k+1,3}$

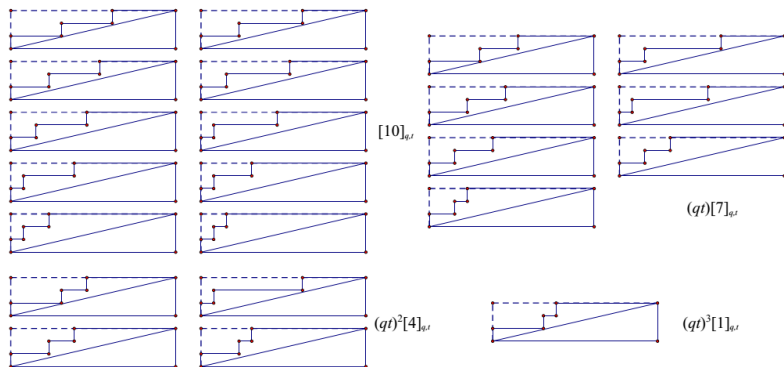
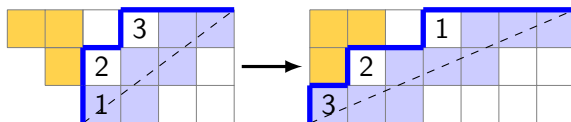


Figure 11: The construction of  $[s_3]_{13,3}$

# The combinatorics of $[s_{1^3}]_{3k+1,3}$

- ▶  $[s_{1^3}]_{m-3,3} = [s_3]_{m,3}$ . Bijection:



- ▶  $[s_{21}]_{m,3}$  is a construction problem similar to  $[s_3]_{m,3}$ .
- ▶ For the case  $m = 3$ , we have several results about  $[s_\lambda]_{3,n}$ . Every equation about  $[s_\lambda]_{3,n}$  implies a bijection about parking functions.

## Remark about pides in $(3, n)$ Case

### Remark

Let  $i < j$  be two cars in the parking function. If  $i$  appears to the left of  $j$  in the diagonal word, then the cars  $i, j$  must be in different columns.



### Remark

The elements in the pides of a parking function  $PF \in \mathcal{PF}_{m,n}$  is at most  $m$ .

So in  $(3, n)$  case, the  $\lambda$  in  $[\mathfrak{S}_\lambda]_{3,n}$  can only be of form  $3^a 2^b 1^c$  with  $3a + 2b + c = n$ .

# Coefficients of $s_\lambda$ in $Q_{3,3k+1}(-1)^{3k+1}$

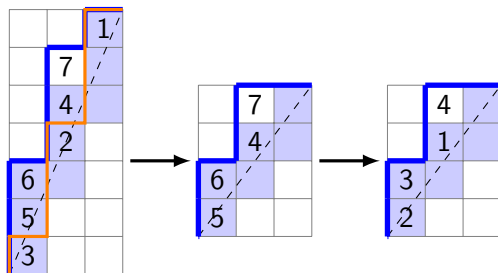
$$Q_{3,4,1} = s_{31} + [2]_{q,t} s_{2^2} + ([3]_{q,t} + [2]_{q,t}) s_{21^2} + ([4]_{q,t} + (qt)[1]_{q,t}) s_{1^4}$$

$$Q_{3,7,-1} =$$

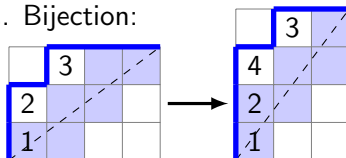
$$\begin{aligned} & s_{3^2 1} + [2]_{q,t} s_{32^2} + ([3]_{q,t} + [2]_{q,t}) s_{321^2} + ([4]_{q,t} + (qt)[1]_{q,t}) s_{31^4} \\ & + ([4]_{q,t} + [3]_{q,t} + (qt)[1]_{q,t}) s_{2^3 1} \\ & + ([5]_{q,t} + [4]_{q,t} + [3]_{q,t} + (qt)[2]_{q,t}) s_{2^2 1^3} \\ & + ([6]_{q,t} + [5]_{q,t} + (qt)([3]_{q,t} + [2]_{q,t})) s_{21^5} \\ & + ([7]_{q,t} + [4]_{q,t} + [1]_{q,t}) s_{1^7} \end{aligned}$$

# Combinatorial Results about $[s_\lambda]_{3,n}$

- $[s_{3a+12b1c}]_{3,n} = [s_{3a2b1c}]_{3,n-3}$ . Bijection:



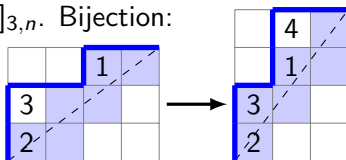
- $[s_{1^3}]_{n,3} = [s_{1^n}]_{3,n}$ . Bijection:





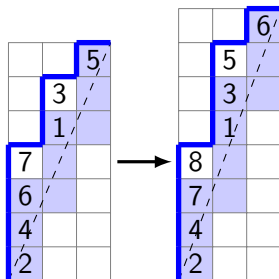
# Combinatorial Results about $[s_\lambda]_{3,n}$

- ▶  $[s_{21}]_{n,3} = [s_{21^{n-2}}]_{3,n}$ . Bijection:



- ▶ **Straitening** action:  $\text{pides}\{\cdots 1, 3 \cdots\} \Rightarrow \text{pides}\{\cdots 2, 2 \cdots\}$   
 for  $\mathcal{PF}_{3,n}$  is clear – an **involution** whose fixed points are the coefficients of  $[s_{2^a 1^b}]_{3,n}$ .

- ▶  $[s_{2^a 1^b}]_{3,n} = [s_{2^b 1^a}]_{3,3(a+b)-n}$ . Bijection:



# Combinatorial Results about $[s_\lambda]_{3,n}$

## Conjecture

Let  $a < b$ , then

$$[s_{2^a 1^b}]_{3,n} = \sum_{i=0}^a [b+i]_{q,t} + (qt)[s_{2^a 1^{b-3}}]_{3,n-3}.$$

We verified this formula by Maple for  $n < 27$ . If this conjecture is true, then we have solved the Schur function expansion in the  $(3, n)$  case.

# Combinatorial Results about $[s_\lambda]_{m,n}$

## Theorem

For all  $m, n > 0$  and  $\lambda' \vdash (n - am)$ ,

$$\text{(a)} [s_{1^n}]_{m-n,n} = [s_n]_{m,n}, \quad \text{(b)} [s_{m^a \lambda'}]_{m,n} = [s_{\lambda'}]_{m,n-am},$$

$$\text{(c)} [s_{1^n}]_{m,n} = [s_{1^m}]_{n,m}, \quad \text{(d)} [s_{k1^{n-k}}]_{m,n} = [s_{k1^{m-k}}]_{n,m}.$$

## Conjecture

$$[s_{(m-1)^{\alpha_{m-1}}(m-2)^{\alpha_{m-2}} \dots 1^{\alpha_1}}] = [s_{(m-1)^{\alpha_1}(m-2)^{\alpha_2} \dots 1^{\alpha_{m-1}}}] .$$

Thank You!