

# Combinatorial Problems in Dyck paths and Parking Functions

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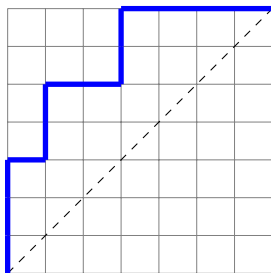
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# $n \times n$ Dyck Paths

## Definition (Dyck path)

An  $n \times n$  Dyck path is a lattice path from  $(0, 0)$  to  $(n, n)$  consisting of east and north steps which stays above the diagonal  $y = x$ .

The set of  $n \times n$  Dyck paths is denoted  $\mathcal{D}_n$ , and  $|\mathcal{D}_n| = C_n = \frac{1}{n+1} \binom{2n}{n}$ .



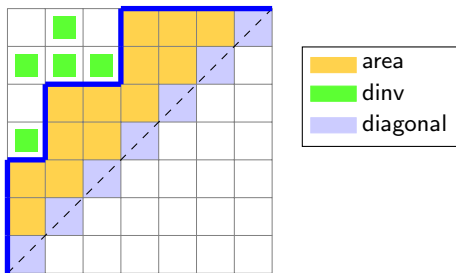
A  $(7, 7)$ -Dyck path

# Area of a Dyck Path

## Definition (area)

The number of full cells between an  $(n, n)$ -Dyck path  $\Pi$  and the main diagonal is denoted  $area(\Pi)$ .


The number of full cells above the Dyck path  $\Pi$  is denoted  $coarea(\Pi)$ .

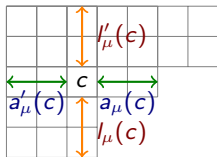
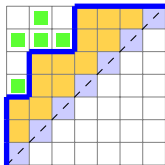


A  $(7, 7)$ -Dyck path

# Partition of a Dyck Path

The collection of cells above  $\Pi$  forms an the Ferrers diagram of a partition

$\lambda(\Pi)$ . Ex.  $\lambda(\Pi) = (3, 3, 1, 1)$ , .



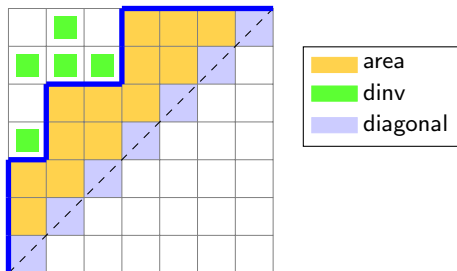
$a_\mu(c)$  = arm of  $c$ ,  
 $a'_\mu(c)$  = coarm of  $c$ ,  
 $l_\mu(c)$  = leg of  $c$ ,  
 $l'_\mu(c)$  = coleg of  $c$ .

# Dinv of a Dyck Path

## Definition (dinv)

The dinv of an  $(n, n)$ -Dyck path  $\Pi$  is given by

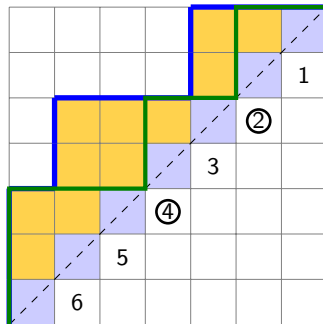
$$\text{dinv}(\Pi) = \sum_{c \in \lambda(\Pi)} \chi \left( \frac{\text{arm}(c)}{\text{leg}(c) + 1} \leq 1 < \frac{\text{arm}(c) + 1}{\text{leg}(c)} \right).$$



A (7, 7)-Dyck path

# Bounce of a Dyck Path

$$\text{bounce}(\Pi) = 4 + 2 = 6.$$



A (7,7)-Dyck path

# Polynomials about $n \times n$ Dyck paths

## $t, q, s$ -Catalan polynomial

$$C_n(t, q, s) = \sum_{\Pi \in \mathcal{D}_n} t^{\text{area}(\Pi)} q^{\text{dinv}(\Pi)} s^{\text{bounce}(\Pi)}.$$

- $C_n(t, q, s)$  is not symmetric in  $t, q, s$ .
- $C_n(1, 1, 1) = C_n = \frac{1}{n+1} \binom{2n}{n}$ .
- $C_n(q, 1, 1) = C_n(1, q, 1) = C_n(1, 1, q)$  :  $q$ -Catalan number.

- Let  $T_n(q) = \sum_{T \text{ rooted planar tree of } n+1 \text{ vertices}} q^{\text{inv}(T)},$

then

$$C_n(q, 1, 1) = T_n(q)$$

## $t, q, s$ -Catalan polynomial

$$C_n(t, q, s) = \sum_{\Pi \in \mathcal{D}_n} t^{\text{area}(\Pi)} q^{\text{dinv}(\Pi)} s^{\text{bounce}(\Pi)}.$$

- $C_n(t, q, 1) = C_n(q, 1, t)$  is symmetric in  $q$  and  $t$ , called  $q, t$ -Catalan number.
- Haglund's Zeta map:  $\text{dinv} \rightarrow \text{area}$ ,  $\text{area} \rightarrow \text{bounce}$ .
- No direct combinatorial approach on  $\text{dinv} \longleftrightarrow \text{area}$ .



# Parking Functions

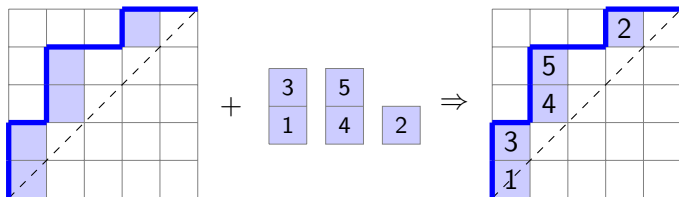
## Definition (Parking Functions – Richard Stanley)

Let  $\alpha = (a_1, \dots, a_n) \in \mathbb{P}^n$ . Let  $b_1 \leq \dots \leq b_n$  be the increasing rearrangement of  $\alpha$ . Then  $\alpha$  is a **parking function** iff  $b_i \leq i$ .

Garsia's construction of parking functions – **labeling Dyck paths**.

We can get an  $n \times n$  **parking function** by labeling the cells east of and adjacent to a north step of a Dyck path with numbers  $1, \dots, n$ .

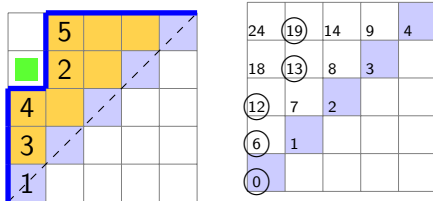
The set of  $n \times n$  parking functions is denoted  $\mathcal{PF}_n$ .



The construction of a parking function

# Statistics of an $(n, n)$ -PF

- $\text{area}(\text{PF}) = \text{area}(\Pi(\text{PF})) = 8,$
- **rank** of a cell is  $\text{rank}(x, y) = (n + 1)y - nx,$
- $\text{dinv}(\text{PF}) = \sum_{\text{cars } i < j} \chi(\text{rank}(i) < \text{rank}(j) \leq \text{rank}(i) + n) = 0,$



A  $(5, 5)$ -Parking Function

# $q, t$ -Polynomials about $n \times n$ Parking Functions

$PF_n(q, t)$

$$PF_n(q, t) = \sum_{PF \in \mathcal{PF}_n} t^{\text{area}(PF)} q^{\text{dinv}(PF)}.$$

- $PF_n(1, 1) = (n + 1)^{(n-1)}$ .
- $PF_n(q, 1) = PF_n(1, q) = P_n(q)$  satisfy the recursion

$$P_{n+1}(q) = \sum_{i=0}^n \binom{n}{i} [i + 1]_q P_i(q) P_{n-i}(q).$$

- Let  $LT_n(q) = \sum_{T \text{ rooted labeled tree of } n+1 \text{ vertices}} q^{\text{inv}(T)}$ ,  
then

$$P_n(q) = LT_n(q)$$

# $q, t$ -Polynomials about $n \times n$ Parking Functions

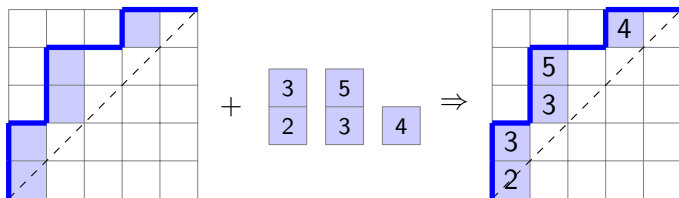
$PF_n(q, t)$

$$PF_n(q, t) = \sum_{PF \in \mathcal{PF}_n} t^{\text{area}(PF)} q^{\text{dinv}(PF)}.$$

- $PF_n(q, t) = \langle \nabla e_n, p_1^n \rangle$  is symmetric in  $t, q$  as a consequence of the [Shuffle conjecture](#).
- There is no elementary combinatorial proof that  $PF_n(t, q) = PF_n(q, t)$ .
- There are well studied bijections between parking functions and rooted labeled trees.

# $(n, n)$ -Labeled Dyck paths

- We can get an  $n \times n$  labeled Dyck path by labeling the cells east of and adjacent to a north step of a Dyck path with numbers in  $(P)$ .
- The set of  $n \times n$  labeled Dyck paths is denoted  $\mathcal{LD}_n$ .
- Weight of  $P \in \mathcal{LD}_n$  is  $t^{\text{area}(P)} q^{\text{dinv}(P)} \chi^P$ .



The construction of a labeled Dyck path with weight  $t^5 q^3 x_2 x_3^2 x_4 x_5$ .

# Symmetric Functions about $n \times n$ Parking Functions

For any given path  $\Pi \in (D)_n$ , the LLT polynomial corresponding to  $\Pi$  is

## LLT Polynomials

$$LLT_{\Pi}[X; q, t] = \sum_{P \text{ labeled Dyck path on } \Pi} t^{\text{area}(P)} q^{\text{dinv}(P)} X^P.$$

- $LLT_{\Pi}$  is **symmetric** and **Schur positive** in  $X = \{x_1, x_2, \dots\}$ , proved by Algebraic Geometry.
- There is currently even **NO** proof of symmetry combinatorially.
- We have a combinatorial translation of the LLT symmetry.

# Symmetric Functions about $n \times n$ Parking Functions

Further, we have the Hikita polynomial,

## Hikita Polynomials

$$H_n[X; q, t] = \sum_{\Pi \in \mathcal{D}_n} LLT_{\Pi}.$$

- $H_n$  is **symmetric in  $q, t$** , as a consequence of the Shuffle conjecture.
- **No** combinatorial proof of  $q, t$ -symmetry.

# Conjectures and Theorems about $n \times n$ Parking Functions

Shuffle Conjecture (proved by Carlson and Mellit)

$$\nabla e_n = H_n.$$

Delta Conjecture (not proved yet)

$$\Delta_{e_k} e_n = \sum_{P \in \mathcal{LD}_n^*} t^{\text{area}^-(P)} q^{\text{dinv}(P)} \chi^P.$$



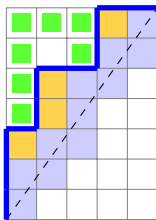
# Rational Extension – Rational Dyck Paths

## Definition (Rational Dyck path)

An  $(m, n)$ -Dyck path is a lattice paths from  $(0, 0)$  to  $(m, n)$  which always remains weakly above the main diagonal  $y = \frac{n}{m}x$ .

The cells that are passed through by the main diagonal are marked as **diagonal** cells.

The number of  $(m, n)$ -Dyck path is  $C_{m,n} = \frac{1}{m+n} \binom{m+n}{m,n}$ .



A rational Dyck path

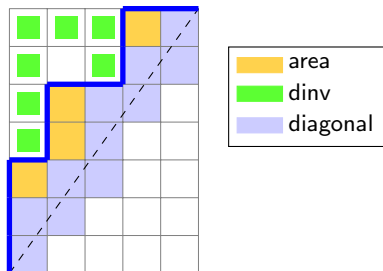
# Rational Dyck Paths

## Definition (area)

The number of full cells between an  $(m, n)$ -Dyck path  $\Pi$  and the main diagonal is denoted  $area(\Pi)$ .

The collection of cells above  $\Pi$  forms the Ferrers diagram of a partition

$\lambda(\Pi)$ . Ex.  $\lambda(\Pi) = (3, 3, 1, 1)$ , .



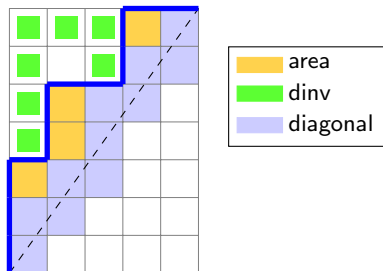
A rational Dyck path

# Rational Dyck Paths

## Definition (pdinv)

The path  $\text{dinv}$  of an  $(m, n)$ -Dyck path  $\Pi$  is given by

$$\text{pdinv}(\Pi) = \sum_{c \in \lambda(\Pi)} \chi \left( \frac{\text{arm}(c)}{\text{leg}(c) + 1} \leq \frac{m}{n} < \frac{\text{arm}(c) + 1}{\text{leg}(c)} \right).$$



A rational Dyck path

## rational $q, t$ -Catalan number

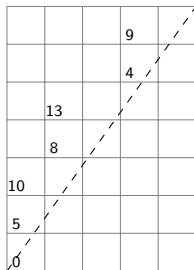
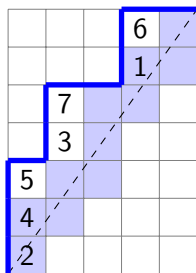
$$C_{m,n}(q, t) = \sum_{\Pi \in \mathcal{D}_{m,n}} t^{\text{area}(\Pi)} q^{p\text{dinv}(\Pi)}.$$

- $C_n(q, t)$  is symmetric in  $q, t$ .
- There is a Phi map analogues to Haglund's Zeta map:  $\text{dinv} \rightarrow \text{area}$ ,  $\text{area} \rightarrow \text{something like bounce}$ .
- No direct combinatorial approach on  $\text{dinv} \longleftrightarrow \text{area}$ .

# Rational Parking Functions

We label the north steps to get rational parking functions and rational labeled Dyck paths.

- $\text{area}(\text{PF}) = \text{area}(\Pi(\text{PF})) = 4$ ,
- **rank** of a cell is  $\text{rank}(x, y) = my - nx$ ,



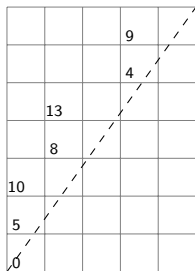
A (5, 7)-parking function and the ranks of its cars

# Rational Parking Functions

## Definition (tdinv)

$$\text{tdinv}(\text{PF}) = \sum_{\text{cars } i < j} \chi(\text{rank}(i) < \text{rank}(j) < \text{rank}(i) + m).$$

In the following example, the pairs of cars contributing to  $\text{tdinv}$  are (1, 3), (1, 4), (3, 5), (3, 6), (4, 6), (5, 7) and (6, 7).



A (5, 7)-parking function and the ranks of its cars

# Rational Parking Functions

Leven and Hicks gave a simplified formula for the **dinv** of a PF. Set  $\frac{0}{0} = 0$  and  $\frac{x}{0} = \infty$  for all  $x \neq 0$ , then

## Definition (dinvcorr)

$$\begin{aligned} \text{dinvcorr}(\Pi) = & \sum_{c \in \lambda(\Pi)} \chi \left( \frac{\text{arm}(c) + 1}{\text{leg}(c) + 1} \leq \frac{m}{n} < \frac{\text{arm}(c)}{\text{leg}(c)} \right) \\ & - \sum_{c \in \lambda(\Pi)} \chi \left( \frac{\text{arm}(c)}{\text{leg}(c)} \leq \frac{m}{n} < \frac{\text{arm}(c) + 1}{\text{leg}(c) + 1} \right). \end{aligned}$$

## Definition (dinv(PF))

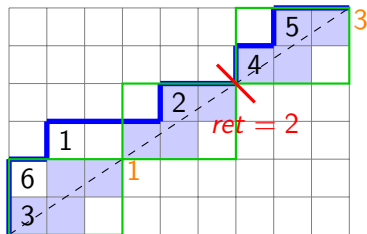
Let PF be any  $(m, n)$ -parking function with underlying Dyck path  $\Pi$ , then

$$\text{dinv}(\text{PF}) = \text{tdinv}(\text{PF}) + \text{dinvcorr}(\Pi).$$

# Rational Parking Functions

## Definition (return)

The  $\text{return}(\text{ret})$  of a  $(km, kn)$ -parking function PF is the **smallest** positive  $i$  such that the supporting path of PF goes through the point  $(im, in)$ .



The  $\text{ret}$  of a  $(9,6)$ -parking function



# Hikita Polynomial

For coprime  $m, n$ ,

$$H_{km, kn}[X; q, t] = \sum_{P \in \mathcal{LD}_{km, kn}} [ret(P)]_{\frac{1}{t}} t^{\text{area}(P)} q^{\text{dinv}(P)} X^P.$$

$H_{km, kn}[X; q, t]$  is symmetric in  $X$ , symmetric in  $q, t$  and Schur positive.

# Rational Shuffle Conjecture

In 2013, [Gorsky](#) and [Negut](#) introduced the operator  $Q_{m,n}$  and give a symmetric function expression for each coprime pair  $(m, n)$  which conjecturally coincides with  $H_{m,n}[X; q, t]$ . The Rational Shuffle Conjecture was proved by Mellit.

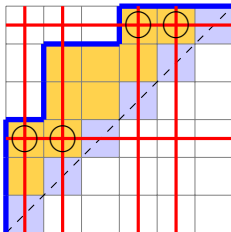
## Theorem (Mellit)

*For all pairs of coprime positive integers  $(m, n)$ , we have*

$$Q_{m,n}(-1)^n = H_{m,n}[X; q, t].$$

# Combinatorial Problem 1 – LLT Symmetry

For an  $n \times n$  Dyck path  $\Pi$ , we assign either a horizontal or a vertical line at each diagonal cell, and count the intersections of the lines in the area cells.

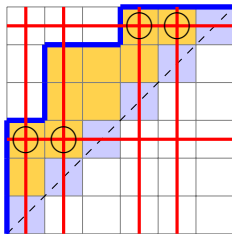


There are  $2^n$  ways to assign the lines.

Let

$$F_{\Pi,k}(q) = \sum_{\text{assignments with } k \text{ verticle}} q^{|\text{intersections in area}|}.$$

# Combinatorial Problem 1 – LLT Symmetry



It is clear that  $F_{\Pi,k}(1) = \binom{n}{k}$ .

LLT of path  $\Pi$  is symmetric iff  $F_{\Pi,k}(q) = F_{\Pi,n-k}(q)$  for all  $k$ .

**Problem:** We want to find a way to switch the horizontal and vertical lines such that we have the same number of intersections.

## Combinatorial Problem 2 – Counting Dyck Paths with Square Paths

There is a known consequence of the Shuffle Conjecture that,

$$\sum_{\Pi \in \mathcal{D}_{m,n}} q^{\text{coarea}(\Pi) + \text{dinv}(\Pi)} = \frac{s_n[m]_q}{[m]_q} = \frac{1}{[m]_q} \begin{bmatrix} m+n-1 \\ n \end{bmatrix}_q.$$

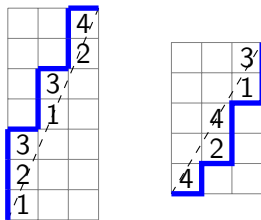
The LHS is a sum over Dyck paths, and in the RHS,  $\begin{bmatrix} m+n-1 \\ n \end{bmatrix}_q$  is a sum over lattice path tracking coarea then divided by  $[m]_q$ .

**Problem:** can we correspond each Dyck path with  $m$  lattice paths to prove the identity directly?

# Combinatorial Problem 3 – A Map on Labeled Dyck Paths

We discovered from experiment that there is a map on labeled Dyck paths keeping area and dinv.

4 4 ④  
③ ③ 3  
② 2 ②  
① ① 1



$\text{area}(\text{LD1}) = \text{area}(\text{LD2})$  proved.

**Problem:** prove  $\text{dinv}(\text{LD1}) = \text{dinv}(\text{LD2})$ .

Thank You!